

FORMALITIES LETTER



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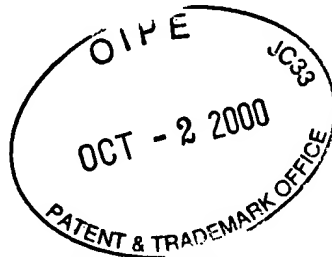
UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/536,820	03/27/2000	Hong-Jiang Zhang	MCS-101-99

Richard T Lyon
Lyon Harr & Defrank LLP
300 Esplanade Drive
Suite 800
Oxnard, CA 93030



Date Mailed: 06/27/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$552.
 - \$396 for 22 total claims over 20.
 - \$156 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1372.

A copy of this notice **MUST** be returned with the reply.

B. M. Morris
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2000 EXAMOND 00000017 09536820

01 FC:101
02 FC:102
03 FC:103
04 FC:105

690.00 OP
156.00 OP
396.00 OP
180.00 OP

Adjustment date: 10/12/2000 BYUSUF1
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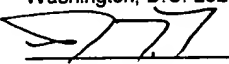
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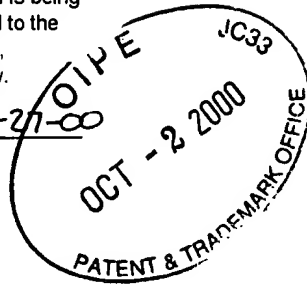
6/26/00 2:10 PM

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being placed in First Class Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 as of today.


Richard T. Lyon

Date: 9-27-00



Section #4

PATENT
Microsoft Docket No. 140726.2
LH&D No. MCS-101-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Huang et al.

: Group Art Unit: 2721

Entitled: POSE-INVARIANT FACE
RECOGNITION SYSTEM AND
PROCESS

: Examiner: Unknown

Serial No.: 09/536,820

Filing Date: March 27, 2000

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated June 27, 2000, please find enclosed herewith the following:

1. Copy of Notice to File Missing Parts of Non-Provisional Application (1 page)

2. Two Executed Declarations and Powers of Attorney (4 pages each)
3. Assignment Recordation Cover Sheet (3 pages), Two Executed Assignments (3 pages each) and a Credit Card Payment Form (1 page)
4. Petition For Extension Of Time Under 37 CFR 1.136(a) (1 page) and Credit Card Payment Form (1 page)
5. Return receipt postcard

A Credit Card Payment Form for the amount of \$1,372.00 to cover the statutory basic filing fee of \$690.00, additional claim fees of \$552.00, and a surcharge under 37 CFR 1.16(e) of \$130.00, is enclosed herewith.


The applicant assumes the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (805) 278-8855.

Dated: 9-27-00

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Respectfully submitted,



Richard T. Lyon
Reg. No. 37,385
Attorney for Applicant(s)